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Patent and Trademark Office U.S. Department of Commerce

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	09/687,892
Filing Date	October 13, 2000
First Named Inventor	Phillip Koh-Kwe Hsu
Group Art Unit	2152
Examiner Name	Not yet assigned
Attorney Docket Number	4034-61

ENCLOSURES (check all that apply)

- ☐ Fee Transmittal Form
 - ☐ Fee Attached
- ☐ Petitions to the Commissioner
- ☒ Request For Corrected Filing Receipt With A Copy Of the Official Receipt
- ☐ Amendment/Reply
 - ☐ After Final
 - ☐ Affidavits/declaration(s)
- ☐ Extension of Time Request
- ☐ Express Abandonment Request
- ☐ Information Disclosure Statement Form PTO-1449
____ References
- ☐ Certified Copy of Priority Document(s)
- ☐ Response to Missing Parts/ Incomplete Application
 - ☐ Response to Missing Parts under 37 CFR 1.52 or 1.53

- ☐ Assignment Papers (for an Application)
- ☐ Drawing(s) - ____ Sheets
- ☐ Licensing-related Papers
- ☐ Petition
- ☐ Petition Routing Slip (PTO/SB/69) and Accompanying Petition
- ☐ Petition to Convert to a Provisional Application
- ☐ Power of Attorney, Revocation Change of Correspondence Address
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- ☐ After Allowance Communication to Group
- ☐ Appeal Communication to Board of Appeals and Interferences
- ☐ Appeal Communication Group (Appeal Notice, Brief, Reply Brief)
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- ☐ Status Letter
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Technology Center 2100

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Leslie Gladstone Restaino, Reg. No. 38,893
Signature	<i>Leslie S Restaino</i>
Date	January 3, 2001

CERTIFICATE OF MAILING

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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Phillip Koh-Kwe Hsu, et al. Docket No.: 4034-61
Serial No. 09/687,892 Group No.: 2152
Filed: October 13, 2000 Examiner: Not yet assigned
For: SYSTEM AND METHOD FOR DELIVERING A FINANCIAL MESSAGE

Assistant Commissioner for Patents
Washington, D.C. 20231

REQUEST FOR CORRECTED FILING RECEIPT

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Error in

1. ☐ Applicant's name
2. ☐ Applicant's address
3. ☒ Title
4. ☐ Filing Date
5. ☐ Serial Number
6. ☐ Other—Attorney Docket No.

Correct Data

1. Technology Center 2100
- 2.
3. System And Method For Delivering A Financial Message
- 4.
- 5.
- 6.

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Reg. No.: 38, 893

Tel. No.: (973) 538-0800

Customer No.:

Leslie Gladstone Restaino
SIGNATURE OF PRACTITIONER

Leslie Gladstone Restaino

(type or print name of practitioner)

One Speedwell Avenue, Morristown, NJ 07962
P.O. Address

CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. 1.8a)

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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/687,892	10/13/2000	2152	728	4034-61	40	21	3

FILING RECEIPT



OC000000005641314

Riker Danzig Scherer Hyland & Perretti LLP
Headquarters Plaza
One Speedwell Avenue
Morristown, NJ 07962

Date Mailed: 12/27/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Phillip Koh-Kwe Hsu, River Edge, NJ ;
Susan M. Carlos, Scotch Plains, NJ ;

Continuing Data as Claimed by Applicant

Foreign Applications

If Required, Foreign Filing License Granted 12/27/2000

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Technology Center 2100

Title

System and method for delivering financial message

Preliminary Class

709

Data entry by : GARNETT, SANDRA

Team : OIPE

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Bib Data Sheet

CONFIRMATION NO. 8983

SERIAL NUMBER 09/687,892	FILING DATE 10/13/2000 RULE	CLASS 709	GROUP ART UNIT 2152	ATTORNEY DOCKET NO. 4034-61
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APPLICANTS

Phillip Koh-Kwe Hsu, River Edge, NJ;
Susan M. Carlos, Scotch Plains, NJ;

** CONTINUING DATA *****

** FOREIGN APPLICATIONS *****

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Technology Center 2100

Foreign Priority claimed <input type="checkbox"/> yes <input type="checkbox"/> no	STATE OR COUNTRY NJ	SHEETS DRAWING 40	TOTAL CLAIMS 21	INDEPENDENT CLAIMS 3
35 USC 119 (a-d) conditions met <input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance				
Verified and Acknowledged	Examiner's Signature	Initials		

ADDRESS

Riker Danzig Scherer Hyland & Perretti LLP
Headquarters Plaza
One Speedwell Avenue
Morristown, NJ 07962

*Leslie Gladstone Restaino, Esq.
Brown Rayman Millstein Felder &
Steiner LLP
163 Madison Avenue
P.O. Box 1989
Morristown, NJ 07962-1989*

TITLE

System and method for delivering a financial message

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